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CSN Data Processing UCD SOP #801B, Version 1.5 October 31, 2022 Page 1 of 17

UCD CSN Technical Information #801B

CSN Data Processing

Chemical Speciation Network Air Quality Research Center University of California, Davis

> *October 31, 2022 Version 1.5*

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DOCUMENT HISTORY

Revision	Release Date	Initials	Section/s Modified	Brief Description of Modifications
	11/30/18	NJS	All	Reworded for clarity, equations added, and updated name changes.
	7/31/19	DEY, KAG	1,3,7,8,9,10	Changed process for carbon and ion analysis pathways. Wording changes for clarity.
	7/31/20	KAG	5-13, 15	Added further description of analytical MDL. Defined process for updating analytical MDL and fractional uncertainty. Wording changes for clarity.
1.4	3/31/21	DEY, AMM	8, 9	Wording changes for clarity, added and updated equations for Reconstructed Mass and Soil MDLs.
1.5	10/31/22	DEY, AMM	3,8,9,10	Included HIPS. Wording changes for clarity and additional reference information.

TABLE OF CONTENTS

1.	Purpose and Applicability					
2.	Summary of the Method					
3.	Definitions					
4.	Hea	1 th and Safety Warnings				
5.	Cau	tions				
6.	Inter	rferences				
7.	Pers	sonnel Qualifications				
8.	Proc	cedural Steps				
8.	.1	Calculate concentrations, uncertainties, and MDLs, and check for duplicates				
8.	.2	Post results to CSN database				
9.	Data	a Processing Equations				
9.	.1	Ions				
9.	.2	Carbon				
9.	.3	Elements				
9.	.4	Filter Optical Absorption 11				
9.	.5	Reconstructed Mass				
	9.5.	1 Ammonium Sulfate (NHSO) 14				
	9.5.2	2 Ammonium Nitrate (NHNO) 14				
	9.5.3	3 Soil				
	9.5.4	4 Organic Mass by Carbon (OMC) 15				
10.	D	Pata Processing Code				
11.	. Equipment and Supplies					
12.	. Quality Assurance and Quality Control					
13.	References17					

LIST OF FIGURES

Figure 1. Flow	diagram of the	e processing code in	crocker::csn calculate	_all 16
0	0	1 0		

1. PURPOSE AND APPLICABILITY

The subject of this technical information (TI) document is processing the sampling and analytical data from the Chemical Speciation Network (CSN). The raw operational information from field sampling is combined with laboratory analytical results to generate concentrations, uncertainties, and method detection limits.

2. SUMMARY OF THE METHOD

The University of California, Davis (UCD) analyst will use functions in the *crocker* software package to calculate final results and post them to the UCD CSN database. The analyst will also review any output messages for errors.

3. **DEFINITIONS**

- Chemical Speciation Network (CSN): EPA's PM_{2.5} sampling network, with sites located principally in urban areas.
- **crocker:** A custom software package in the R language that contains the data processing code used to produce, check, and post the final results.
- **CSN database:** A SQL Server database that is the central warehouse of CSN preliminary and final data at UCD.
- Energy Dispersive X-Ray Fluorescence (EDXRF): An analytical technique used to determine the concentration of elements.
- Hybrid Integrating Plate and Sphere (HIPS): An analytical technique for filter optional absorption.
- Ion Chromatography (IC): An analytical technique used to determine the concentration of ions.
- Method Detection Limit (MDL): A lower limit of detection specific to method of analysis and reported parameter.
- **RCM:** Reconstructed mass
- Thermal Optical Analysis (TOA): An analytical technique used to determine the concentration of carbon.

4. HEALTH AND SAFETY WARNINGS

Not applicable.

5. CAUTIONS

Not applicable.

6. INTERFERENCES

Not applicable.

7. PERSONNEL QUALIFICATIONS

The UCD Air Quality Research Center (AQRC) Data & Reporting Group staff assigned to tasks described in this document have advanced training in database programming and database management.

8. PROCEDURAL STEPS

Data processing is performed using the *crocker* R package, which is developed and maintained by UCD specifically for data processing, monitoring, and validation of the CSN data. Data processing is performed by the UCD Data & Reporting Group on monthly batches of data (a calendar month of sample start dates). Processing occurs in two steps:

- 1. Calculate concentrations, uncertainties, and MDLs, and check for duplicates (Section 8.1).
- 2. Post results to the UCD CSN database (Section 8.2).

8.1 Calculate concentrations, uncertainties, and MDLs, and check for duplicates

Laboratory results for gravimetric mass are stored in the database as mass per m³; the concentrations, uncertainties, and MDLs are calculated by Wood and provided to UCD. Ions and carbon fractions are stored as mass per filter, elements are stored as mass per cm², and filter optical absorption data are unitless. The *csn_calculate_all* function in the *crocker* package combines per filter analysis results with filter operational data (e.g., flow rates) and corresponding blanks to calculate concentrations, uncertainty estimates, and MDLs for all species except gravimetric mass. The details and specific equations are provided in Section 9.

To calculate values for all measured and derived parameters, the analyst should open an R environment (such as RStudio) and run the following command¹:

[month data] <- crocker::csn calculate all([YYYY], [MM], 'production')

This command will calculate concentrations, uncertainties, and MDLs for all measured and derived parameters for the year ([YYYY]) and month ([MM]) and return them (in memory) to the variable [month_data]. The last argument in the command specifies that the calculations will use the "production" database (i.e., the CSN operational database).

Within the *csn_calculate_all* function, the concentrations, uncertainties, and MDLs are calculated for each of the four main groups of measured species (elements, ions, carbon,

¹ Text in [brackets] indicates values that can be changed by the user. Other values should be typed as written.

and filter optical absorption) as well as for derived parameters such as ammonium sulfate, ammonium nitrate, soil, organic mass by carbon, and reconstructed mass. Following the calculations, a check for duplicates is performed and processing will cease if duplicates are identified. When the duplicate check indicates a problem, the analyst will investigate and resolve the issue such as by contacting the appropriate laboratory based on which filter or analysis type is duplicated. Duplicate issues can result from the same filter having more than one set of analysis results or because there appears to be more than one filter of the same filter type running on the same day at the same site. Once the issues are resolved, the *csn_calculate_all* command should be re-run.

8.2 Post results to CSN database

After all duplicates have been resolved and *csn_calculate_all* has been run successfully, the results can be uploaded to the UCD CSN production database in preparation for Level 1 data validation. The analyst will execute the following commands:

[post] <- crocker::csn_post_results([month_data], 'production', AnalysisQcCode = 1, comment = ['Initial Posting'], replacingId = NULL, replacingQcCode = NULL)

This command appends the processed data analysis.Results or analysis.CompositeResults table in the UCD CSN production database as an analysis set. It also inserts a record into the analysis.ResultsSets table that provides summary information for this set, including the comment and the *AnalysisQcCode*. *AnalysisQcCode* = 1 is used for routine data. During level 1 validation, the data may be modified and $csn_calculate_all$ is run again and a new complete data set is posted to the database. The analyst should use the $csn_post_results$ command again, change the comment to record what the dataset relates to as well as change the analysis QC code of the previously posted dataset(s) by including the data set ID of the previous posting (*replacingId*) and the analysis QC code (*replacingQcCode*) that should be associated with that data set.

9. DATA PROCESSING EQUATIONS

Section 9.1 through Section 9.4 present the equations used to calculate species concentrations and the associated uncertainties and MDLs. These calculations are performed by the *crocker* R package.

9.1 Ions

Ions are measured on the nylon filter by ion chromatography (IC). The ions measurement results – chloride (Cl⁻), nitrate (NO₃⁻), sulfate (SO₄²⁻), ammonium (NH₄⁺), potassium (K⁺), and sodium (Na⁺) – are delivered and ingested into the CSN Database (see *UCD CSN TI #801A: Data Ingest*; sections 8.2 and 8.5) as micrograms per filter in the ions data files from RTI. The ion concentration, MDL, and uncertainty in micrograms per cubic meter are calculated using Equations 1, 2, and 3 respectively.

$$C = \frac{A - B}{V}$$

Where,

C = ambient concentration (μ g/m³) A = mass measured on sample (μ g/filter) B = artifact mass (μ g/filter) = monthly median of field blanks (FB) V = sample volume (m³)

The MDL is calculated as the 95th percentile minus the median of monthly field blanks (Equation 2) and is dependent on sample volume. Since the MDL calculated here is determined using field blanks, it is expected to be higher than the analytical MDL which is calculated by the laboratory using laboratory blanks. As such, the analytical MDL is used as a minimum floor value for the unlikely case where the field blank MDL is lower than the analytical MDL. The field blank MDL is reported with each sample concentration and varies per month of reported data.

$$MDL = \frac{max \begin{cases} P_{95} - med\\ analytical MDL \end{cases}}{V}$$
2

Where,

 $P_{95} = 95$ th percentile of at least 50 field blanks for the matching month, including nearby months if necessary (µg/filter)

med = median of at least 50 field blanks for the matching month, including nearby months if necessary (μ g/filter)

analytical MDL = analytical MDL value as reported by the ion chromatography laboratory (μg). Analytical MDL for each species is stored in the CSN database. The analytical MDLs are reviewed annually (corresponding with delivery of data for samples collected during January), and updated if needed.

 $V = sample volume (m^3)$

Uncertainty is reported with each concentration and varies for each concentration reported (Equation 3).

$$\sigma(c) = \sqrt{(S_{FB})^2 + (fC)^2}$$

Where,

 S_{FB} = analytical uncertainty, calculated as shown in Equation 4.

$$S_{FB} = \frac{MDL}{1.6449}$$

4

 $C = ambient concentration (\mu g/m^3)$

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1

6

7

f = fractional uncertainty (Equation 6), calculated using scaled relative differences (SRD; Equation 5) between sample pairs collected at CSN collocated sites (using collocated data from the most recent two-year period, June through May). Fractional uncertainty for each species is stored in the CSN database. If the total number of valid collocated pairs over the two-year period is less than 60, a value of 0.25 is adopted as the fractional uncertainty. The fractional uncertainties are reviewed and updated annually (corresponding with delivery of data for samples collected during January).

scaled relative difference (srd) =
$$\frac{(collocated - routine)/\sqrt{2}}{(collocated - routine)/2}$$
 5

Fractional Uncertainty (f) =
$$\frac{(84th \ percentile \ of \ srd) - (16th \ percentile \ of \ srd)}{2}$$

9.2 Carbon

Carbon is measured on the quartz filter by thermal optical analysis (TOA). Carbon measurement results are reported from the laboratory as areal densities in micrograms per centimeter squared and stored in the UCD database (see UCD TI 801A_CSN Data Ingest). For the seven-carbon fractions (i.e. OC1, OC2, OC3, OC4, EC1, EC2 and EC3), the primary source of fractional uncertainty is the separation into temperature ranges. This may be associated with operationally defined temperature regulation, but it may also be from the inherent variability of the species involved. Species include organic carbon (OC), elemental carbon (EC), pyrolized carbon (OP; Pyrol C), which are each measured via transmittance and/or reflectance, and total carbon (TC). The concentration, MDL, and uncertainty in micrograms per cubic meter for the carbon fractions and sums – OC1, OC2, OC3, OC4, OPTR, OPTT, EC1, EC2, EC3, OCTR, OCTT, ECTR, ECTT and TCTC – are calculated both with (e.g., OC1, ECTR) and without (e.g., OC1_raw, ECTR_raw) an artifact correction using Equations 7 through 11. When calculated without artifact correction, the B variable in Equation 7 is zero.

$$C = \frac{A - B}{V}$$

Where,

 $C = ambient concentration (\mu g/m^3)$

A = mass measured on sample (μ g/filter)

 $B = artifact mass (\mu g/filter) = monthly median of field blanks (FB)$

V =sample volume (m³)

The MDL is calculated as the 95th percentile minus the median of monthly field blanks (Equation 8) and is dependent on sample volume. Since the MDL calculated here is

determined using field blanks, it is expected to be higher than the analytical MDL which is calculated by the laboratory using laboratory blanks. As such, the analytical MDL is used as a minimum floor value for the unlikely case where the field blank MDL is lower than the analytical MDL. The field blank MDL is reported with each sample concentration and varies per month of reported data.

$$MDL = \frac{max \begin{cases} P_{95} - med\\ analytical MDL \end{cases}}{V}$$

Where,

 $P_{95} = 95$ th percentile of at least 50 field blanks for the matching month, including nearby months if necessary (µg/filter)

med = median of at least 50 field blanks for the matching month, including nearby months if necessary (μ g/filter)

analytical MDL = analytical MDL value as reported by the thermal optical analysis laboratory (μ g). Analytical MDL for each species is stored in the CSN database. The analytical MDLs are reviewed annually (corresponding with delivery of data for samples collected during January), and updated if needed.

Uncertainty is reported with each concentration and varies for each concentration reported (Equation 9).

$$\sigma(c) = \sqrt{(S_{FB})^2 + (fC)^2}$$

Where,

 S_{FB} = analytical uncertainty, calculated as (Equation 10).

$$S_{FB} = \frac{MDL}{1.6449}$$
10

 $C = ambient concentration (\mu g/m^3)$

f = fractional uncertainty (Equation 12), calculated using scaled relative differences (SRD; Equation 11) between sample pairs collected at CSN collocated sites (using collocated data from the most recent two-year period, June through May). Fractional uncertainty for each species is stored in the CSN database. If the total number of valid collocated pairs over the two-year period is less than 60, a value of 0.25 is adopted as the fractional uncertainty. The fractional uncertainties are reviewed and updated annually (corresponding with delivery of data for samples collected during January).

scaled relative difference (srd) =
$$\frac{(collocated - routine)/\sqrt{2}}{(collocated - routine)/2}$$
 11

Fractional Uncertainty
$$(f) = \frac{(84th \ percentile \ of \ srd) - (16th \ percentile \ of \ srd)}{2}$$
12

9.3 Elements

Elements are measured on the PTFE filter using energy dispersive X-ray fluorescence (EDXRF). The element measurement results for 33 element species are stored in the UCD database as areal densities in micrograms per centimeter squared (see UCD TI 801A_CSN Data Ingest). The element concentration, MDL, and uncertainty are calculated using Equation 13, 14, and 15, respectively.

$$C = \frac{AD \cdot a - L}{V}$$
13

Where,

 $AD = areal density (\mu g/cm^2)$

L = median mass loading of at least 50 field blanks for the matching month, including nearby months if necessary (µg/filter)

a = sample deposit area (cm²)

 $V = sample volume (m^3)$

The MDL is calculated as the 95th percentile minus the median of monthly field blanks (Equation 14) and is dependent on sample volume. Since the MDL calculated here is determined using field blanks, it is expected to be higher than the analytical MDL which is calculated by the laboratory using laboratory blanks. As such, the analytical MDL is used as a minimum floor value for the unlikely case where the field blank MDL is lower than the analytical MDL. The field blank MDL is reported with each sample concentration and varies per month of reported data.

$$MDL = \frac{max \begin{cases} P_{95} - med\\ analytical MDL \\ V \end{cases}}{V}$$
 14

Where,

 $P_{95} = 95$ th percentile of at least 50 field blanks for the matching month, including nearby months if necessary (µg/filter)

med = median of at least 50 field blanks for the matching month, including nearby months if necessary (μ g/filter)

analytical MDL = analytical MDL value as reported by the X-ray fluorescence laboratory (μ g). Analytical MDL for each species is stored in the CSN database. The analytical MDLs are reviewed annually (corresponding with delivery of data for samples collected during January), and updated if needed. Uncertainty is reported with each concentration and varies for each concentration reported (Equation 15).

$$\sigma(c) = \sqrt{(S_{FB})^2 + (fC)^2}$$
15

Where,

 S_{FB} = analytical uncertainty, calculated as (Equation 16).

$$S_{FB} = \frac{MDL}{1.6449}$$
16

 $C = ambient concentration (\mu g/m^3)$

f = fractional uncertainty (Equation 18), calculated using scaled relative differences (SRD; Equation 17) between sample pairs collected at CSN collocated sites (using collocated data from the most recent two-year period, June through May). Fractional uncertainty for each species is stored in the CSN database. If the total number of valid collocated pairs over the two-year period is less than 60, a value of 0.25 is adopted as the fractional uncertainty. The fractional uncertainties are reviewed and updated annually (corresponding with delivery of data for samples collected during January).

scaled relative difference (srd) =
$$\frac{(collocated - routine)/\sqrt{2}}{(collocated - routine)/2}$$
17

Fractional Uncertainty
$$(f) = \frac{(84th \ percentile \ of \ srd) - (16th \ percentile \ of \ srd)}{2}$$
18

9.4 Filter Optical Absorption

Optical absorption is measured by a hybrid integrating plate and sphere (HIPS) system using the PTFE filter. The laser absorption measurements are stored as reflectance (R) and transmittance (T) values in the UCD CSN database.

Results from the HIPS measurement are reported as filter optical absorption coefficient (fAbs) in units of Mm⁻¹, calculated from R and T. The concentration (fAbs), MDL, and uncertainty are calculated using Equation 19, 20, 21, 22, respectively:

$$fAbs = \frac{\tau_{633} * (Deposit Area)}{V} * 100$$
19

Where,

V =sample volume (m³)

Deposit area = area of sample deposit on the filter (cm^2) .

$$\tau_{633} = \log(Max(\frac{intercept + (slope * reflectance)}{transmittance}, 0.1))$$
20

intercept and slope are determined from calibrations performed using field blanks for each distinct Lot of PTFE filters and stored in the CSN Database.

The MDL is calculated as the max between the 95th percentile of monthly field blanks or the analytical MDL, which is calculated by the laboratory using laboratory blanks. As such, the analytical MDL is used as a minimum floor value for the unlikely case where the field blank MDL is lower than the analytical MDL. The field blank MDL is reported with each sample concentration and varies per month of reported data.

$$MDL = \frac{\text{Deposit Area } * max \begin{cases} P_{95}(\tau_{633}) \\ analytical MDL \\ V \end{cases} * 100$$
21

Where,

 $P_{95} = 95^{\text{th}}$ percentile of at least 50 field blanks from each distinct Lot of PTFE filters for the matching month, including nearby months if necessary

analytical MDL = analytical MDL value as reported by the P_{95} HIPS

Uncertainty is reported with each concentration and varies for each concentration reported (Equation 22).

$$\sigma(c) = \sqrt{(SFB)2 + (fC)2}$$
22

Where,

SFB = analytical uncertainty, calculated as (Equation 23).

$$S_{FB} = \frac{MDL}{1.6449}$$
23

C = ambient concentration (Mm-1)

f = fractional uncertainty (Equation 25), calculated using scaled relative differences (SRD; Equation 24) between sample pairs collected at CSN collocated sites (using collocated data from the most recent two-year period, June through May). Fractional uncertainty for each species is stored in the CSN database. If the total number of valid collocated pairs over the two-year period is less than 60, a value of 0.25 is adopted as the fractional uncertainty. The fractional uncertainties are reviewed and updated annually (corresponding with delivery of data for samples collected during January).

scaled relative difference (srd) =
$$\frac{(collocated - routine)/\sqrt{2}}{(collocated - routine)/2}$$
24

Fractional Uncertainty
$$(f) = \frac{(84th \ percentile \ of \ srd) - (16th \ percentile \ of \ srd)}{2}$$
25

9.5 Reconstructed Mass

Reconstructed mass (RCM; Equation 26) is a composite variable estimated from chemical speciation measurements, and can be compared to gravimetric mass measurements to assess overall data quality. Gravimetric mass analysis is not routinely performed using CSN filters; thus, for comparison purposes data from collocated continuous monitors (available from AirNow Tech) are used for validation.

$$RCM = NHSO + NHNO + Soil + 1.8 Chloride + ECTR + OMC$$

Where,

NHSO = ammonium sulfate concentration (see 9.5.1)
NHNO = ammonium nitrate concentration (see 9.5.2)
Soil = soil concentration (see 9.5.3)
Chloride = chloride concentration as measured by IC (Section 9.1)
ECTR = elemental carbon concentration by TOA (Section 9.2)
OMC = concentration of organic mass by carbon (see 9.5.4)

For all of the terms in Equation 26, zero is substituted for negative values.

The MDL is calculated as the 95th percentile minus the median of monthly field blanks. If there are not at least 50 valid field blank events (i.e, valid field blanks for matching sites/dates for PTFE, nylon, and quartz filters), field blanks from previous months are also used in the calculation.

$$MDL_{RCM} = (P_{95} - med)$$
²⁷

Where,

 $P_{95} = 95$ th percentile of at least 50 field blanks for the matching month, including nearby months if necessary ($\mu g/m^3$)

med = median of at least 50 field blanks for the matching month, including nearby months if necessary (μ g/m³)

Uncertainty is calculated as the combination of the individual uncertainties (Equation 28).

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26

28

$$\sigma_{RCM} = \sqrt{\sigma_{NHSO}^2 + \sigma_{NHNO}^2 + \sigma_{Soil}^2 + (1.8 \sigma_{Chloride})^2 + \sigma_{ECTR}^2 + \sigma_{OMC}^2}$$

For Equation 28, each uncertainty term must be at least zero.

9.5.1 Ammonium Sulfate (NHSO)

Sulfur is predominantly present as sulfate in the atmosphere, generally as (NH₄)₂SO₄ (ammonium sulfate) though also as (NH₄)HSO₄ (ammonium bisulfate), H₂SO₄ (sulfuric acid), CaSO₄·2H₂O (gypsum), and, in marine areas, NaSO₄ (sodium sulfate). In many cases, the particle will include associated water, but this is omitted from the calculation. Assuming all sulfur is present as ammonium sulfate (NHSO), the concentration, MDL, and uncertainty are derived from the sulfur elemental measurement and are calculated as:

$$C_{NHSO} = C_S * 4.125$$
 29

$$MDL_{NHSO} = MDL_s * 4.125$$

$$\sigma_{NSHO} = \sigma_{S} * 4.125$$

9.5.2 Ammonium Nitrate (NHNO)

М

This is the total dry concentration associated with nitrate, assuming 100% neutralization by ammonium. The concentration, MDL, and uncertainty are derived from the nitrate ion measurement and are calculated as:

$$C_{NHNO} = C_{nitrate} * 1.29$$

32

34

30

$$DL_{NHnO} = MDL_{nitrate} * 1.29$$
33

 $\sigma_{NSNO} = \sigma_{nitrate} * 1.29$

9.5.3 Soil

The fine soil component consists of the sum of the predominantly soil elements measured by EDXRF, multiplied by a coefficient to account for oxygen for the normal oxide forms (Al₂O₃, SiO₂, CaO, K₂O, FeO, Fe₂O₃, TiO₂), and augmented by a factor to account for other compounds not included in the calculation, such as MgO, Na₂O, water, and CO₂. The following assumptions are made:

- Fe is split equally between FeO (oxide factor of 1.29) and Fe₂O₃ (oxide factor of 1.43), giving an overall Fe oxide factor of 1.36.
- Fine K has a non-soil component from smoke. Based on the K/Fe ratio of 0.6 for average sediment (*Handbook of Chemistry and Physics*), 0.6*Fe is used as a

surrogate for soil K; accounting for the oxide factor for K_2O (oxide factor of 1.2), a total Fe factor of 0.72*Fe (0.6*1.2) is used for the potassium oxide in soil. This increases the factor for Fe from 1.36 to 2.08.

• The oxide forms of the soil elements account for 86% of average sediment; in order to obtain the total mass associated with soil, the final factors are divided by 0.86 (*Handbook of Chemistry and Physics*). The final equations for fine soil concentration, MDL, and uncertainty are:

$$C_{soil} = 2.2 Al + 2.49 Si + 1.63 Ca + 2.42 Fe + 1.94 Ti$$
35

$$MDL_{Soil} = \frac{P_{95} - med}{V}$$
36

Where,

 $P_{95} = 95$ th percentile of at least 50 field blanks for the matching month, including nearby months if necessary (µg/filter)

med = median of at least 50 field blanks for the matching month, including nearby months if necessary (μ g/filter)

 $V = sample volume (m^3)$

Uncertainty is calculated as the combination of the individual uncertainties.

$$\sigma_{soil} = \sqrt{(2.2 \sigma_{Al})^2 + (2.49 \sigma_{Sl})^2 + (1.63 \sigma_{Ca})^2 + (2.42 \sigma_{Fe})^2 + (1.94 \sigma_{Ti})^2}$$
37

For Equations 35-37, zero substitution is applied to any negative terms.

9.5.4 Organic Mass by Carbon (OMC)

To determine the total amount of organic mass associated with organic carbon, the ratio of organic mass to organic carbon is assumed to be 1.4.

$$C_{OMC} = 1.4 * C_{OCTR}$$
38

$$MDL_{OMC} = 1.4 * MDL_{OCTR}$$
39

$$\sigma_{OMC} = 1.4 * \sigma_{OCTR}$$

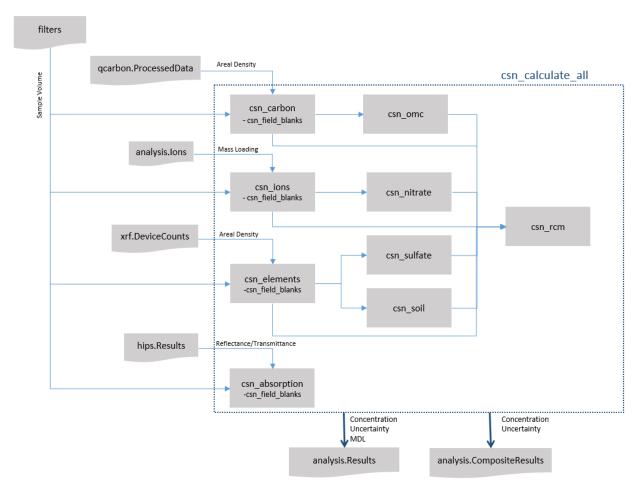
40

10. DATA PROCESSING CODE

This section describes the flow of data through the data processing code used to calculate concentration, MDL, and uncertainty for all CSN parameters. Figure 1 outlines the flow

of data from the filter and analysis specific database tables to final results. The wrapper function *csn_calculate_all* from the *crocker* R package is the only function executed directly by the analyst (see Section 8.1); *csn_calculate_all* in turn calls several functions sequentially to calculate first measured and then derived concentrations. Source code for the functions shown in Figure 1 is stored in the UCD source repository.

Figure 1. Flow diagram of the processing code in *crocker::csn_calculate_all*. The raw sampling and analysis data are inputs to the process. Rectangles represent R functions and arrows indicate inputs and outputs. Gravimetric mass is not included; mass results are typically only available for specially designated sites.



11. EQUIPMENT AND SUPPLIES

The hardware and software used for CSN data ingest are described in the associated UCD CSN SOP #801: Processing & Validating Raw Data.

12. QUALITY ASSURANCE AND QUALITY CONTROL

Software bugs and data management issues are tracked through JIRA tracking software. All users have access to our internal JIRA website and can submit, track, and comment on bug reports.

13. REFERENCES

UCD CSN SOP #801: Processing & Validating Raw Data