UCD CSN Technical Information #801A

CSN Data Ingest

Chemical Speciation Network Air Quality Research Center University of California, Davis

> July 31, 2020 Version 1.3

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DOCUMENT HISTORY

Date Modified	Initials	Section/s Modified	Brief Description of Modifications
11/30/18	NJS	1,2,7,8,9,10	Rewording for clarity and updating name changes.
7/30/19	YN, KAG	1,8	Changed process for carbon and ion analysis pathways. Wording changes for clarity.
3/5/20	KAG	5-11	Wording changes for clarity.

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1. PURPOSE AND APPLICABILITY

The subject of this technical information document (TI) is handling electronic filter and laboratory records from samples collected in the Chemical Speciation Network (CSN). This document is intended to guide users on the receiving and validating of CSN filter and laboratory records and ingestion to the UCD CSN database. These include sample operational data and filter records from Wood PLC (Wood), ion analysis results from RTI International (RTI), and elemental and carbon analysis results from the University of California, Davis (UCD).

2. SUMMARY OF THE METHOD

Filter records from the Sample Handling Laboratory (Wood) and ion analysis results from RTI are received in data files, typically delivered as .csv files, and ingested into the UCD CSN database using the UCD CSN Data Management website. Elemental and carbon analysis results from UCD are automatically ingested.

3. **DEFINITIONS**

- **AQS:** EPA's Air Quality System database.
- Chemical Speciation Network (CSN): EPA's PM_{2.5} sampling network, with sites located principally in urban areas.
- **CSN database:** A SQL Server database that is the central warehouse of CSN preliminary and final data at UCD.
- CSV: a comma-separated value file that is the common format for delivery files.
- **Ion Chromatography (IC):** An analytical technique used to determine the concentration of ions.
- Thermal Optical Analysis (TOA): An analytical technique used to determine the concentration of carbon.
- Energy Dispersive X-Ray Fluorescence (EDXRF): An analytical technique used to determine the concentration of elements.

4. HEALTH AND SAFETY WARNINGS

Not applicable.

5. CAUTIONS

Not applicable.

6. INTERFERENCES

Not applicable.

7. PERSONNEL QUALIFICATIONS, DUTIES, AND TRAINING

The UCD Air Quality Research Center (AQRC) Data & Reporting Group staff assigned to tasks described in this document have advanced training in database programming and database management.

8. PROCEDURAL STEPS

Four data ingest steps are required prior to data processing and validation.

- 1. Filter records, including sample operational data and validity flags, from Wood. (Section 8.1)
- 2. Ion analysis results from RTI (Section 8.2).
- 3. Elemental and carbon analysis results from UCD (Section 8.3).
- 4. Mass analysis results from Wood (Section 8.4). Mass results are typically only available for special studies.

These four steps are outlined below.

8.1 Filter records, sample operational data, and validity flags

Filter records are sent from Wood to UCD via email, typically on the same day as the shipment of corresponding physical filters. Filter records are delivered as three files:

- 1. FilterDataTransfer [xxx].csv,
- 2. FilterDataNullFlags [xxx].csv
- 3. FilterDataValidFlags [xxx].csv,

where [xxx] represents a number corresponding to the delivery batch. FilterDataTransfer contains a single record for each filter, including sample operational data such as flow rate and ambient temperature. FilterDataNullFlags and FilterDataValidFlags include the null codes and validity codes, respectively. Null codes and validity codes are joined to corresponding filter data by the unique combination of SampleRequestID and ChannelID. The files received from Wood are downloaded and stored on the UCD file server (U:\CSN\FromWood\To Be Imported).

Filter records are ingested to the UCD CSN database through the UCD CSN Data Management website. Figure 1 shows a screenshot of the upload page. The analyst first loads in "test" mode (by having the "TestOnly" box checked as shown in Figure 1), which will perform import validation, but will not save any changes to the database. Filter records are subjected to the automated validity checks as shown in Table 1. The analyst will review the results of the validation and determine which, if any, records fail to upload due to validation errors. Once the analyst has reviewed the output messages in the "test" mode, the upload should be performed again with the "TestOnly" box unchecked to ingest the data into the database. After upload, the analyst will store the

source files on the UCD file server (U:\CSN\FromWood\Imported). If there are too many output messages and/or the analyst wants to review a reduced number of messages relating to specific columns, the analyst can run the ingest process in test mode and check various boxes in the "IgnoredColumns" section.

Figure 1. Filter data upload page from the UCD CSN Data Management website.

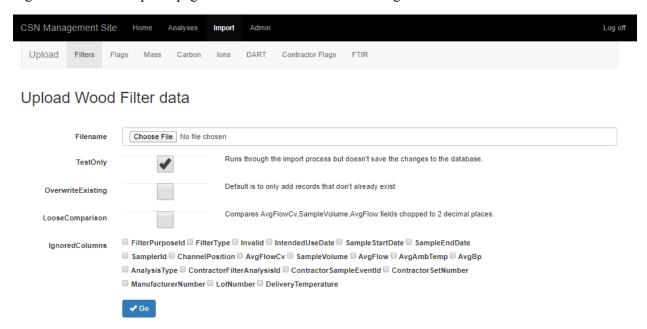


Table 1. Automated validity checks performed by the UCD CSN Data Management website during the filter data upload process.

Check	Action
Number of columns in header matches number of columns in row	Warning message
Any columns not found (or renamed)	Import aborted
Filter record matched more than one site or did not match any sites	Warning message
More than one batch found in the import	Warning message
Number/date columns fail to parse into number/date	Warning message
Existing records in the database; if multiple matches, generates message	Warning message
If matched existing record, checks for changed fields	Warning message
IntendedUseDate after SamplerStartDate	Warning message
SamplerStartDate more than a day after IntendedUseDate	Warning message
SamplerEndDate more than 25 hours after SamplerStartDate	Warning message
Calculated SamplerEventId does not match one in record	Warning message
SamplerEventId plus Channel position do not uniquely identify the record	Warning message
More than one LotNumber for PTFE filters in the import	Warning message
Flow and ambient parameters are out of specification	Warning message
Calculated sample volume is not within 10% of the reported sample volume	Warning message
FilterType column only consists of expected types (e.g. PTFE, nylon, quartz).	Warning message

Null codes (FilterDataNullFlags_[xxx].csv file from Wood) and validity codes (FilterDataValidFlags_[xxx].csv file from Wood) are uploaded through the data management website as shown in Figure 2. Filter records must be loaded prior to the null

and validity codes. The following steps are followed for both files (FilterDataValidFlags_[xxx].csv and FilterDataValidFlags_[xxx].csv). Files should first be loaded in "test" mode, which will perform import validation, but will not save any changes to the database. Null codes and validity codes are subjected to the automated validity checks as shown in Table 2. The analyst will review the results from the automated checks and investigate and resolve records that failed to upload because of validation errors. Similar to the previous step, the ingest process should be performed again with the "TestOnly" box unchecked. After ingest, the analyst will store the source files on the file server (U:\CSN\FromWood\Imported).

Figure 2. Null code and validity code upload page.

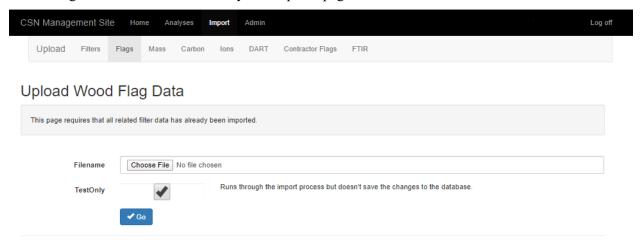


Table 2. Automated validity checks performed during the null code and validity flag upload process.

Check	Action
Number of columns in header matches number of columns in row	Warning message
Any columns not found (or renamed)	Import aborted
Code record matched more than one filter or did not match any filters	Warning message
SetNumber or IntendedUseDate do not match the matched filter record	Warning message
Number/date columns fail to parse into number/date	Warning message
Code does not match existing AQS Code	Warning message
Codes apply to more than one batch	Warning message
More than one null code applied to filter (also create FilterComment); ranks codes	Warning message
and marks extra codes as duplicates	
The same code is applied to a filter more than once	Warning message
NullCode import tries to use any non-terminal codes; QualifierCode import tries to	Warning message
use any terminal codes	
Filter is not marked invalid but a null code is assigned to the filter	Warning message

8.2 Ion Analysis Results

Ion analysis results are sent from RTI to UCD via email in .csv format.

8.2.1 Ions

The ions data are delivered in one .csv file named after the batch of data that is being delivered:

1. AXXXXXXX.csv

The Xs represent the batch number; for example, A0000053 for batch 53. The .csv analysis records are ingested to the database through the UCD CSN Data Management website. Figure 3 shows a screenshot of the IonsData upload page. The analyst first loads in "test" mode (by having the "TestOnly" box checked as shown in Figure 3), which will perform import validation, but will not save any changes to the database. Records are subjected to the automated validity checks as shown in Table 3. The analyst will review the results from the automated checks and investigate and resolve records that failed to upload because of validation errors. The ingest process should be performed again with the "TestOnly" box unchecked. After upload, the analyst will store the source files on the file server (U:\CSN\FromRTI\Imported).

Figure 3. Ion analysis results upload page.

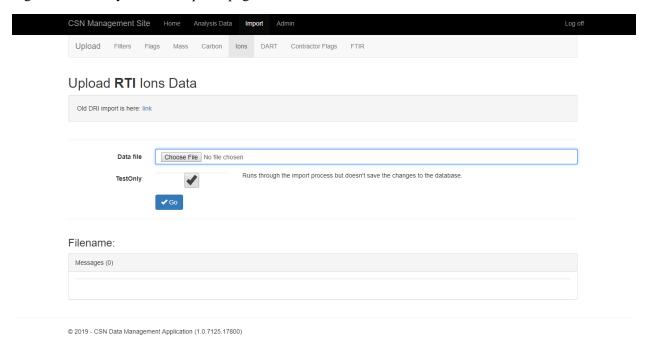


Table 3. Automated validity checks performed during the ions analysis results upload.

Check	Action
Number of columns in header matches number of columns in row	Warning message
Any columns not found (or renamed)	Import aborted
Number/date columns fail to parse into number/date	Warning message
Flag does not match existing AQS Code or extra codes (i.e. RS/RP)	Warning message
Existing records in the database; if multiple matches, generates message	Warning message
If matched existing record, checks for changed fields	Warning message
Record identifier did not match any filters	Warning message

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8.3 Elemental and Carbon Analysis Results

Elemental and carbon analyses are performed at the AQRC.

8.3.1 Elemental Analysis

Results files created by the PANalytical EDXRF software are automatically ingested on a schedule by a software service. The results files are transmitted to a directory on the PC connected to the PANalytical EDXRF analyzer (C:\PANalytical\Transmission). A Windows Service (internally named XRF Data Transfer) is installed on each individual PC connected to a PANalytical EDXRF analyzer and monitors the transmission directory checking it every hour for any files created. The results files are standard text files with the extension .qan. The file names are the EDXRF analysis dates and times in the format YYYYMMDDHHMMSS.qan. The results files and contents are parsed by the service and ingested into tables in the UCD CSN database.

8.3.2 Carbon Analysis

The software application used to run the carbon analyzer automatically stores data acquired during an analysis in a comma-delimited text format for later computation, display, and printing. The text file containing raw carbon data is automatically ingested into the UCD CSN database by a software service. Upon ingestion, the areal densities of OC (transmittance and/or reflectance), EC (transmittance and/or reflectance), and TC, as well as OC1, OC2, OC3, OC4, EC1, EC2, EC3 and OP (Pyrol C) (in μg C/cm²) are automatically calculated and stored.

8.4 Mass Data

Filter masses for specific sites (typically special study sites) are determined at Wood and the results are sent to UCD via email as MassTransfer [xxx].csv files, where [xxx] represents a number corresponding to the delivery batch. Mass analysis data is ingested to the UCD CSN database through the UCD CSN Data Management website. Figure 4 shows a screenshot of the upload page. The data analyst will first load in "test" mode (by having the "TestOnly" box checked as shown in Figure 4). The analyst will review the results from the automated checks and investigate and resolve records that failed to upload because of validation errors. After upload, the analyst will store the source files on the file server (U:/CSN/FromWood/Imported/Mass).

Figure 4. Mass analysis results upload page.

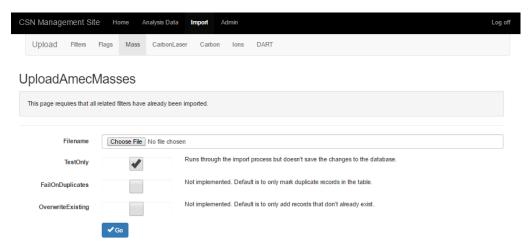


Table 4. Automated validity checks performed during the mass analysis results upload.

Check	Action
Number of columns in header matches number of columns in row	Warning message
Any columns not found (or renamed)	Import aborted
Mass record matched more than one filter or did not match any filters	Warning message
SetNumber or IntendedUseDate do not match the matched filter record	Warning message
Number/date columns fail to parse into number/date	Warning message
Checks for out of spec Weight Types	Warning message
Records from more than one batch	Warning message
More than one valid (Analysis QC code = 1) mass record per filter	Warning message

8.5 Ingesting revised files

In the event that data corrections are made by Wood, new files will be provided for reingestion. The new files will be uploaded using the same processes described above. The ingest processing will identify any changed records in the case of the filter data files. For revised filter data files from Wood, the analyst will first run the ingest process in "test" mode (by having the "TestOnly" box checked); to confirm what changes will be made, the analyst needs to also have the "OverwriteExisting" box checked. The analyst will scrutinize the changed records to ensure that they are correct before re-running the process in "overwrite" mode only (by having the "TestOnly" box unchecked and the "OverwriteExisting" box checked). Only changed records will be overwritten.

9. EQUIPMENT AND SUPPLIES

The hardware and software used for CSN data ingest are described in the associated *UCD CSN SOP #801: Processing & Validating Raw Data*.

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10. QUALITY ASSURANCE AND QUALITY CONTROL

Software bugs and data management issues are tracked through JIRA tracking software. All users have access to the internal UCD JIRA website and can submit, track, and comment on issue reports.

11. REFERENCES

Not Applicable.